

AP875US

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

*In re* patent application of:

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**OFFICIAL**

Serial No. 09/915,363 Group Art Unit: 2874  
 Filed: July 27, 2001 Examiner: N/A  
 Title: OPTICAL WAVEGUIDE FILTERS

Customer No: 33361

PRELIMINARY AMENDMENT UNDER 37 CFR 714.01(e)

Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

Sir:

Applicants request amendment of the specification as shown in the section captioned **Amendments to the Specification** which begins on page 2 of this paper.

Applicants request amendment of the claims as shown in the section captioned **Amendments to the Claims** which begins on page 6 of this paper.

An explanation of the amendments is provided in the section captioned **Remarks** which begins on page 11 of this paper.

The fee calculation is as follows:

	Claims remaining after amendment	Highest number paid for already	Extra Claims	Rate	Addtl. Fee
Total Claims ( )20 )	164	51	113	x \$9.00	\$1017.00
Independent Claims ( )3 )	3	3	0	x \$xx.00	\$0.00
Multiple Dependent					
Extension of time					

TOTAL FEE FOR AMENDMENT: \$1017.00

The Commissioner is hereby authorized to charge this Total Fee to Deposit Account Number 20-0771. In the event that the calculation is in error, the Commissioner is authorized to charge the corrected amount.

Respectfully submitted,

9<sup>th</sup> June 2004  
Date

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Docket No. AP875US

CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office, Fax No. (703) 872-9306, on the date shown below.

9<sup>th</sup> June 2004  
Date

T. Adams  
Thomas Adams